

P3 Waiver List

Round 1 Pilots

- Baton Rouge = City of Baton Rouge/Parish of East Baton Rouge, *Baton Rouge, LA*
- Broward County = Children's Services Council of Broward County, *Lauderhill, FL*
- Chicago = Chicago Department of Family and Support Services, *Chicago, IL*
- Eastern Kentucky = Eastern Kentucky Concentrated Employment Programs, *Hazard, KY*
- Indianapolis = City of Indianapolis, *Indianapolis, IN*
- Los Angeles (City) = City of Los Angeles, *Los Angeles, CA*
- Oklahoma = Oklahoma Department of Human Services, *Oklahoma City, OK*
- Seattle = Workforce Development Council of Seattle - King County, *Seattle, WA*
- Ysleta = Ysleta del Sur Pueblo, *El Paso, TX*

Round 2 Pilot

- ASU = Arizona State University, *Phoenix, AZ*

Round 3 Pilots

- Hartford = City of Hartford, *Hartford, CT*
- Los Angeles (County) = Los Angeles County Community and Senior Services, *Los Angeles, CA*
- New York City = New York City Department of Youth and Community Development, *New York, NY*
- New York State = New York State Education Department, *Albany, NY*
- Sacramento = Housing Authority of the City of Sacramento, *Sacramento, CA*

Federal Agency	Program	Flexibility	Citation	Pilot Sites
U.S. Department of Education	21st Century Community Learning Centers (CLC)	<p>Allowed 21st Century CLC funds to be used to support authorized activities during the school day.</p> <p><i>*Conditional on the written assurance that the funds will be used to supplement, and not supplant, other Federal, State, and local public funds expended to provide programs and activities authorized under the 21st Century CLC program and other similar programs.</i></p>	Secs. 4201(b)(1)(A) and 4204(a) of the Elementary and Secondary Education Act (ESEA)	Broward County
U.S. Department of Education	21st Century Community Learning Centers (CLC)	Waived the requirement in 34 CFR 76.50(b) that the authorizing statute determines the extent to which a State may use grant funds directly and make subgrants to eligible applicants, in order to permit the grantee to subgrant \$150,000 of the State's FY 2016 21st Century CLC program funds	34 CFR 76.50(b)	New York State

Federal Agency	Program	Flexibility	Citation	Pilot Sites
		reserved for “State activities” under section 4202(c)(3) of the Elementary and Secondary Education Act (ESEA) to two local educational agencies to carry out various authorized activities, as described in the grantee’s P3 application.		
U.S. Department of Education	21st Century Community Learning Centers (CLC)	Waived the requirement in section 4201(b)(1)(A) of the Elementary and Secondary Education Act (ESEA) that community learning centers assist “students” so that the two local educational agencies to which subgrants will be awarded may use 21st Century CLC funds to support transition coordinators who work with youth who are not enrolled in school to help them resume their educations by re-enrolling in elementary	Section 4201(b)(1)(A) of the ESEA	New York State

Federal Agency	Program	Flexibility	Citation	Pilot Sites
		or secondary school, or participating in State-approved high school equivalency and Learn-to-Work programs, as described in the grantee's P3 application.		
U.S. Department of Education	21st Century Community Learning Centers (CLC)	Waived the requirement in section 4201(b)(1)(A) of the Elementary and Secondary Education Act (ESEA) that 21st Century CLC program activities be carried out during non-school hours or periods when school is not in session to enable transition coordinators to provide services during the school day to youth who have re-enrolled in elementary or secondary school.	Section 4203(b)(1)(A) of the ESEA	New York State
U.S. Department of Education	21st Century Community Learning Centers (CLC)	Allowed funds to be blended with Workforce Innovation and Opportunity Act (WIOA) Title I Youth Program.	--	Broward County

Federal Agency	Program	Flexibility	Citation	Pilot Sites
U.S. Department of Education	21st Century Community Learning Centers (CLC)	Allowed funds to be blended with Performance Partnership Pilots Start-Up Grant funds.	--	New York State
Corporation for National and Community Service	AmeriCorps State and National	Waived AmeriCorps State and National matching requirements.	42 U.S.C. § 12571; Pub. L. 113-76, Title IV, § 402; 45 C.F.R. §§ 2521.35, .45, .60, .70	Ysleta
Corporation for National and Community Service	AmeriCorps State and National	Allowed funds to be blended funds with Native American Library Services: Enhancement Grants funds.	--	Ysleta Del Sur Pueblo
U.S. Department of Education	Carl D. Perkins Career and Technical Education Act of 2006	Waived grade eligibility requirement and allowed provision of services starting in the 6th grade.	Section 315 of the Carl D. Perkins Career and Technical Education Act of 2006 (Public Law 109-270)	Baton Rouge
U.S. Department of Education	Education Department General Administrative Regulations	Waived the prohibition against subgranting U.S. Department of Education funds unless authorized by statute or by 34 CFR §75.708 (b) in order to enable the Pilot Lead to subgrant P3 start-up grant funds	34 CFR §75.708 (a)	Chicago

Federal Agency	Program	Flexibility	Citation	Pilot Sites
		to partners to carry out the activities described in the approved application.		
U.S. Department of Education	Education Department General Administrative Regulations	Waived the prohibition against subgranting U.S. Department of Education funds unless authorized by statute or by 34 CFR §75.708 (b) in order to enable the Pilot Lead to subgrant 21st Century CLC funds to partners to carry out the activities described in the approved application.	34 CFR §75.708 (a)	Broward County
U.S. Department of Education	Elementary and Secondary Education Act (ESEA) Title I, Part A	Waived the limitation on serving individuals older than age 21.	ESEA Section 1115(c)(1)(A)(i)	ASU
U.S. Department of Education	Gaining Early Awareness and Readiness for Undergraduate Programs (GEAR UP)	Waived the GEAR UP requirement that grant funds be used only to serve a certain grade-level/cohort to permit the use of grant funds to serve all students within the Promise Zone.	34 CFR 694.3(a); HEA sec. 404B(d)	Eastern Kentucky

Federal Agency	Program	Flexibility	Citation	Pilot Sites
U.S. Department of Education	Gaining Early Awareness and Readiness for Undergraduate Programs (GEAR UP)	Waived the GEAR UP requirement that grant funds be used to serve schools that meet certain requirements to permit the use of grant funds to serve all secondary schools within the Promise Zone.	34 CFR 694.3(a); HEA sec. 404B(d)	Eastern Kentucky
U.S. Department of Education	Gaining Early Awareness and Readiness for Undergraduate Programs (GEAR UP)	Permitted GEAR UP funds to be used not only to provide a seventh year of service to students during their first year of attendance at an institution of higher education, but also to provide a seventh year of service to students who did not enroll at an institution of higher education during their first year after exiting high school.	HEA Sec. 404A(b)(2)	Eastern Kentucky
U.S. Department of Education	Gaining Early Awareness and Readiness for	Waived the GEAR UP matching requirement for the portion of GEAR	HEA Sec. 404C(b)	Eastern Kentucky

Federal Agency	Program	Flexibility	Citation	Pilot Sites
	Undergraduate Programs (GEAR UP)	UP grant funds that are blended in the Pilot.		
U.S. Department of Education	Gaining Early Awareness and Readiness for Undergraduate Programs (GEAR UP)	Allowed funds to be blended with a) Workforce Innovation and Opportunity Act (WIOA) Title I Youth Program, b) Promise Neighborhoods, and c) Full Service Community School funds.	--	Eastern Kentucky
U.S. Department of Health and Human Services	Head Start	Waived the requirement for the redetermination of income eligibility for all children transitioning from Early Head Start to Head Start to allow all participants to remain eligible for the two years of the pilot's program. The waiver was granted for the children of pilot participants.	Head Start Regulation 45 CFR 1305.4 (i)(4)	Chicago

Federal Agency	Program	Flexibility	Citation	Pilot Sites
U.S. Department of Health and Human Services	Head Start	Waived income eligibility requirements. Household income is the income assessed to determine child eligibility. The waiver allows youth living with parents/relatives or guardians, regardless of parent/relative/guardian income, to be determined eligible for enrollment in the pilot's program and their children to be determined to be eligible for Head Start or Early Head Start. This request is approved for the children participating in this pilot.	Head Start Regulation 45 CFR 1305.4(c)	Chicago
Institute of Museum and Library Services	Native American Library Services: Enhancement Grants	Allowed funds to be blended with AmeriCorps State and National funds.	--	Ysleta Del Sur Pueblo
U.S. Department of Education	Promise Neighborhoods	Allowed funds to be blended with a) Workforce Innovation and Opportunity Act Title I Youth Program,	--	Eastern Kentucky

Federal Agency	Program	Flexibility	Citation	Pilot Sites
		b) Gaining Early Awareness and Readiness for Undergraduate Programs, and c) Full Service Community Schools funds.		
U.S. Department of Labor	Reentry Employment Opportunities (REO)	Allowed foster care and homeless youth to be eligible participants under the 10 percent exemption in REO. Approved only for the youth participating in the pilot.	Second Chance Act Sec. 212(a)(2)	Seattle
U.S. Department of Health and Human Services	Transitional Living Program (TLP)	Allowed increase in the eligibility age from 21 to 24 for homeless youth in the TLP. <i>*Conditional on the submission of a report that outlines the number of youth participants by age to demonstrate that the number of younger youth (ages 16-21) served is not decreased as a result of broader eligibility for older youth.</i>	RHY Program Authorizing Legislation Part B—Transitional Living Grant Program Sec. 322 Eligibility and Sec. 387 Definitions	Los Angeles (City)

Federal Agency	Program	Flexibility	Citation	Pilot Sites
U.S. Department of Labor	Workforce Innovation and Opportunity Act (WIOA) Title I Youth Program	<p>Waived WIOA performance measures and use proposed alternative measures instead.</p> <p><i>*Conditional on the State's submission of a letter acknowledging the applicant's request for performance flexibility.</i></p>	WIOA Sec. 116. (b)(2)(A)(ii)(I- III)	<p>Baton Rouge Broward County Chicago Eastern Kentucky Los Angeles (County) New York City Oklahoma</p>
U.S. Department of Labor	Workforce Innovation and Opportunity Act (WIOA) Title I Youth Program	Negotiated minimum Out-of-School Youth (OSY) expenditure amount.	WIOA Sec. 129(a)(4)(A)	<p>Broward County Eastern Kentucky Hartford Oklahoma Sacramento</p>
U.S. Department of Labor	Workforce Innovation and Opportunity Act (WIOA) Title I Youth Program	Waived enrollment cap that precludes a local area from determining that more than 5% of in-school youth newly enrolled in a program year are eligible based on the “requires additional assistance to complete an educational program or to secure or hold employment” criterion.	20 CFR 681.310(b)	Hartford

Federal Agency	Program	Flexibility	Citation	Pilot Sites
U.S. Department of Labor	Workforce Innovation and Opportunity Act (WIOA) Title I Youth Program	Waived WIOA eligibility requirements and permitted in-school youth (ISY) to be counted as out-of-school youth (OSY) only for youth participating in the pilot, and for fiscal accounting purposes only.	WIOA Sec. 129(a)(1)	Broward County Chicago Eastern Kentucky
U.S. Department of Labor	Workforce Innovation and Opportunity Act (WIOA) Title I Youth Program	Allowed to consider foster, homeless, and runaway youth who are in school to be counted in the 75 percent Out-of-School Youth service category for fiscal accounting purposes and for the youth participating in the pilot only.	WIOA Sec. 129 (a)(1)(B)	Los Angeles (City)

Federal Agency	Program	Flexibility	Citation	Pilot Sites
U.S. Department of Labor	Workforce Innovation and Opportunity Act (WIOA) Title I Youth Program	<p>Waived to allow pilot to serve youth who are identified as at-risk of becoming justice involved by the criminal justice system, or by a juvenile diversion program.</p> <p><i>*Youth who are at risk of becoming justice involve could include, but are not limited to, youth who are victims of crimes or youth who are members of gangs.</i></p>	20 CFR 681.210(c)(4) and 20 CFR 681.220(d)(3)	Hartford
U.S. Department of Labor	Workforce Innovation and Opportunity Act (WIOA) Title I Youth Program	Allowed youth (who participate in the pilot) who attend a high school equivalency program funded by public K-12 to be considered “out of school” for the purposes of WIOA eligibility.	20 CFR § 681.230	Sacramento
U.S. Department of Labor	Workforce Innovation and Opportunity Act (WIOA) Title I Youth Program	Allowed funds to be blended with a) 21st Century Community Learning Centers (CLC) and b) Library Services	N/A	Broward County

Federal Agency	Program	Flexibility	Citation	Pilot Sites
		and Technology Act funds		
U.S. Department of Labor	Workforce Innovation and Opportunity Act (WIOA) Title I Youth Program	Allowed to blend funds with a) Gaining Early Awareness and Readiness for Undergraduate Programs (GEAR UP), b) Promise Neighborhoods, and c) Full Service Community Schools funds.	--	Eastern Kentucky
U.S. Department of Labor	Workforce Innovation and Opportunity Act (WIOA) Title I Youth Program	Allowed to blend funds with YouthBuild funds.	--	Indianapolis
U.S. Department of Labor	YouthBuild	Allowed funds to be blended with Workforce Innovation and Opportunity Act Title I Youth Program funds.	--	Indianapolis